

SUMMARY OF MODIFICATIONS V3.0 TO V3.1- PART II

Clause	General Regulations V3.0	Clause	General Regulations V3.1
1.	The Licence and Certification Agreement must be accepted ...to become a GLOBALGAP (EUREPGAP) approved CB and to be listed in the GLOBALGAP website.	1.	The Licence and Certification Agreement <u>including its updates</u> must be accepted ...to become <u>and to remain</u> a GLOBALGAP (EUREPGAP) approved CB and to be listed in the GLOBALGAP website.
2	APPROVAL PROCESS	2.	CERTIFICATION BODY APPROVAL PROCESS
		2.1.1	Provisional approval
			The CB must complete the below steps before carrying out GLOBALGAP (EUREPGAP) audits, issuing any non-accredited GLOBALGAP (EUREPGAP) certificates and before provisional approval can be granted.
2.1 (i)	The applicant CBs must register in the GLOBALGAP (EUREPGAP) CB Extranet (http://cb.globalgap.org), send a completed Application Form and pay an evaluation fee (according to latest version of GLOBALGAP fee table) to the GLOBALGAP Secretariat for initiating the approval process.	2.1.1 (i)	The applicant CBs must register in the GLOBALGAP (EUREPGAP) CB Extranet (http://cb.globalgap.org), send a completed Application Form and pay an evaluation fee (according to latest version of GLOBALGAP fee table) to the GLOBALGAP Secretariat for initiating the approval process.
2.3 (xi)	The CB shall:		In case the evaluation of the application is positive, the applicant CB must pay the annual CB Licence Fee and shall:
	a) register all auditors and inspectors in the GLOBALGAP (EUREPGAP) database; and	2.1.1 (ii)	a) register all auditors and inspectors in the GLOBALGAP database; and
	b) have them complete the GLOBALGAP (EUREPGAP) online training, including the online exam in the relevant scope in the Online Training Extranet (http://onlinetraining.globalgap.org), within 6 months after registration; and		b) have them complete the GLOBALGAP (EUREPGAP) online training, including the online exams for the General Regulations and for the Control Points and Compliance Criteria in the relevant sub-scope in the Online Training Extranet (http://onlinetraining.globalgap.org), within 6 months after registration; and
	c) pay the relevant online training fee per registered auditor/inspector according to the latest version of the GLOBALGAP fee table.		c) pay the relevant online training fee per

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			registered auditor/inspector according to the latest version of the GLOBALGAP fee table.
		2.1.1 (iii)	As a condition for provisional approval the applicant CB wanting to certify option 1 producers shall have at least one inspector (for producer inspections) and one auditor (for the certification committee) who have passed the online test for the applied sub-scope and scope, respectively as well as the General Regulations part. Applicant CBs wanting to certify option 2 producer groups shall have at least one auditor (for producer member inspections and QMS audit) and another auditor (for the certification committee) who have passed the online test for the applied sub-scope and scope, respectively.
		2.1.1 (iv)	The CB willing to certify a benchmarking standard shall show proof of approval by the scheme or standard owner.
2.1 (ii)	The applicant CB must sign the GLOBALGAP (EUREPGAP) Licence and Certification Agreement before carrying out GLOBALGAP (EUREPGAP) audits and issuing GLOBALGAP (EUREPGAP) certificates	2.1.1 (v)	The applicant CB must sign the GLOBALGAP (EUREPGAP) Licence and Certification Agreement.
2.1 (iii)	CBs must apply ... in the relevant GLOBALGAP (EUREPGAP) scope(s) (Crops, Livestock, and/or Aquaculture or the relevant Benchmarked Scheme. A copy of the confirmation of this application to the AB must be forwarded to the GLOBALGAP Secretariat within 28 days.	2.1.1 (vi)	CBs must apply ... in the relevant GLOBALGAP (EUREPGAP) sub-scope(s) and Approved Modified Checklists or in the relevant Full Benchmarked Scheme (see General Regulations Part IV). A copy of the confirmation of this application to the AB must be forwarded to the GLOBALGAP Secretariat.
2.1 (iv)	The Accreditation Body to which the CB applies must be signatory to the multilateral agreement (MLA) on Product Certification and must: a) either the European Co-operation for Accreditation	2.1.1 (vii)	The Accreditation Body to which the CB applies must be signatory to the multilateral agreement (MLA) on Product Certification with: a) either the European Co-operation for Accreditation

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	(EA), or b) must be a member of the International Accreditation Forum (IAF), which has been subject to a peer evaluation in the product certification field with a positive recommendation in its report, and c) have signed the Memorandum of Understanding (MoU) with FoodPLUS GmbH within either EA or IAF		(EA), or b) the International Accreditation Forum (IAF) Members of the IAF, who are signatories of the Pacific Accreditation Cooperation (PAC) are automatically accepted into the IAF MLA, and c) have signed the Memorandum of Understanding (MoU) with FoodPLUS GmbH within either EA or IAF
2.1 (v)	CBs must obtain accreditation within the set timeframe, ... date of application. This period can be extended ... 6 months if the AB gives justified reasons that are acceptable to the GLOBALGAP Secretariat explaining the delay.		Clarified and Moved to 2.1.2 (i)
2.1 (vi)	Once accreditation has been obtained, ... stating clearly the extent of the accreditation scope(s) it has been approved for.		Moved to 2.1.2 (ii)
2.1 (vii)	The CB must send a qualified GLOBALGAP (EUREPGAP) auditor or inspector (Appendices II.1 and II.2) ... CB Event.		Moved to 3.1 (vi)
2.1 (viii)	The annual Certification Licence fee must be paid. ...as published on the GLOBALGAP website).		Clarified and Moved to 3.1 (vii)
2.1 (ix)	Only once the CB has been accredited to EN 45011 or ISO/IEC Guide 65 ... which must be followed at all times.		Clarified and Moved to 2.1.2 (v)
2.3 (xvii)	...to allow the applicant CBs with previous EN 45011 or ... e.g.1:...Alternatively, the CB can issue 20 Option 1 certificates. e.g.2: ...option 1 farmers (not connected to the option 2 group) (total = 20 non accredited producers certified).	2.1.1 (viii)	...to allow the provisionally approved CBs with previous EN 45011 or ... e.g.1: ...Alternatively, the CB can issue Option 1 certificates for 20 producers. e.g.2: ...option 1 farmers (not connected to the option 2 group) (total = non accredited certificates for 20 producers). The provision for Inspection Bodies with previous ISO/IEC 17020:2004 or ISO/IEC Guide 65 (see 3.4 (iv)) accreditation, but not for GLOBALGAP, subcontracted by a CB, is to allow these Inspection Bodies to conduct a maximum of 20

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			inspections/audits. The CB must control this.
2.3 (xviii)	...to allow applicant CBs with no previous EN45011 or ...	2.1.1 (ix)	...to allow provisionally approved CBs with no previous EN45011 or The provision for Inspection Bodies with no previous ISO/IEC 17020:2004 or ISO/IEC Guide 65 (see 3.4 (iv)) accreditation, subcontracted by a CB, is to allow these Inspection Bodies to conduct a maximum of 5 inspections/audits. The CB must control this.
		2.1.2	Final approval The CB must complete the steps below before issuing any accredited GLOBALGAP (EUREPGAP) certificates and before final approval can be granted.
2.1 (v)	CBs must obtain accreditation within the set timeframe, which is set currently at 6 (six) months after the date of application. This period can be extended ... GLOBALGAP Secretariat explaining the delay.	2.1.2 (i)	CBs must obtain accreditation within 6 (six) months after the date of provisional approval . This period can be extended ...GLOBALGAP Secretariat explaining the delay. The CB shall submit justified reasons to GLOBALGAP.
2.1 (vi)	Once accreditation has been obtained, ..., stating clearly the extent of the accreditation scope(s) it has been approved for.	2.1.2 (ii)	Once accreditation has been obtained, ..., stating clearly the extent of the accreditation sub-scope(s) and/or Approved Modified Checklist it has been approved for.
		2.1.2 (iii)	If accreditation has not been achieved after a maximum period of one year, the provisional approval will be withdrawn and the CB shall not appear as provisionally approved on the GLOBALGAP website and cannot issue any non-accredited GLOBALGAP (EUREPGAP) certificates. During this period the annual CB Licence Fee will apply. The CB must re-apply for provisional approval after a new standard version is introduced.
		2.1.2 (iv)	As a condition for final approval the provisionally approved CB shall have at least one in-house trainer (according to point 3.4

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			vi) available for the applied sub-scope.
2.1 (ix)	Only once the CB has been accredited to EN 45011 or ISO/IEC Guide 65 with the applicable GLOBALGAP (EUREPGAP) scope can the CB place the GLOBALGAP (EUREPGAP) logo on the certificate, according to the applicable GLOBALGAP (EUREPGAP) certificate template, which must be followed at all times.	2.1.2 (v)	Only once the CB has been accredited to EN 45011 or ISO/IEC Guide 65 with the applicable GLOBALGAP (EUREPGAP) sub-scope and Approved Modified Checklist , can the CB place the GLOBALGAP (EUREPGAP) trademark/logo on the certificate, according to the applicable GLOBALGAP (EUREPGAP) certificate template, which must be followed at all times.
2.2	Approval of Extension of Scopes and Sub-scopes	2.2	Approval of Extension of Scopes, Sub-scopes <u>and Approved Modified Checklists</u>
1.2	(ii) GLOBALGAP (EUREPGAP) approved CBs wanting to extend their sub-scope of certification within a scope, must have as a minimum 1 inspector or auditor who complies with specific GLOBALGAP (EUREPGAP) inspector or auditor sub-scope requirements (Appendices II.1 and II.2) respectively. Formal application must be sent to the GLOBALGAP Secretariat including the confirmation in the GLOBALGAP (EUREPGAP) database of the registration of the respective inspector or auditor for the new sub-scope. The sub-scope accreditation will be covered by the accreditation of the related scope unless the AB detects any non-conformities during their surveillance activities.	2.2. (ii)	GLOBALGAP (EUREPGAP) approved CBs wanting to extend their sub-scope of certification within a scope, must have minimum 1 inspector or auditor who complies with specific GLOBALGAP (EUREPGAP) inspector or auditor sub-scope requirements (Appendices II.1 and II.2, respectively). A formal registration request must be sent to the GLOBALGAP Secretariat.
		2.2 (iii)	The sub-scope accreditation shall be covered by the accreditation of the related scope unless the AB detects any non-conformances during their surveillance activities and shall be indicated on the accreditation certificate.
		2.2 (iv)	The precondition for sub-scope extension (provisionally approved status) is the availability of an in-house trainer for the new sub-scope as described in point 3.4 (vi).

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		2.2 (v)	Certification Bodies interested in certifying the Chain of Custody standard must apply to GLOBALGAP for extension. Approval is granted by scope for a CB that is approved (or in the process of approval) for a sub-scope within that scope e.g.: a CB is approved for Aquaculture Chain of Custody and for one of the Aquaculture sub-scopes can certify Chain of Custody for all the other Aquaculture sub-scopes.
		2.2 (vi)	GLOBALGAP (EUREPGAP) approved CBs willing to extend their approval to an Approved Modified Checklist within the same scope and sub-scope must send a formal registration request to the GLOBALGAP Secretariat. In case the CB is approved for another scope or sub-scope, clauses 2.2 (i) and 2.2. (ii) apply, respectively.
		2.2. (vii)	The normative document of the Approved Modified Checklist standard shall be referenced on the accreditation certificate.
2.3	CB Operational Requirements	3	CERTIFICATION BODY OPERATIONAL REQUIREMENTS
		3.1	General Requirements
2.3 (i)	All the points described in the General Regulations ... certification of all scopes and sub scopes, and be available for Accreditation Body evaluation (EN 45010 or ISO 17011). Any CB wishing to start granting GLOBALGAP (EUREPGAP) licences/certificates must comply with the requirements set out in 2.1.	3.1 (i)	All the points described in the General Regulations ... certification of all scopes, sub-scopes and Approved Modified Checklists , and be available for Accreditation Body evaluation (EN 45010 or ISO 17011). Any CB wishing to start granting GLOBALGAP (EUREPGAP) licences/certificates must comply with the requirements set out in 2.1. <i>NOTE: Conditions for Approved Modified Checklists are fulfilled by the compliance of the relevant sub-scope requirements.</i>

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2.3 (iii)	GLOBALGAP (EUREPGAP) certification granting procedure must be clearly identified in the CB operational documentation, and must follow the GLOBALGAP (EUREPGAP) General Regulations, which must commence with the Registration of the applicant as a first step.		Moved to 3.3. (i)
2.3 (iv)	Each CB will be responsible for the information filed; documentation related to GLOBALGAP (EUREPGAP) procedures or GLOBALGAP (EUREPGAP) clients must be made available to GLOBALGAP (EUREPGAP) on request.		Moved to 3.3 (iii)
2.3 (v)	Every CB approved by the GLOBALGAP Secretariat will nominate a contact person, called the “GLOBALGAP (EUREPGAP) Scheme Manager”, ... If this person is not available, a substitute shall respond in this time frame.		Added requirement and Moved to 3.4 (i)
2.3 (vi)	The person who makes the certification decision ... shall comply with auditor qualifications (for the scope the certificate is being issued) as set out in Appendix II.2.		Clarified and Moved to 3.4 (ii)
2.3 (vii)	For carrying out GLOBALGAP (EUREPGAP) inspections and audits, ... fulfill the GLOBALGAP (EUREPGAP) requirements (See Appendices II.1 and II.2, respectively)		Moved 3.4 (iii)
2.3 (viii)	The inspection and certification activities of the Certification Body must be carried out ..., at the relevant administrative sites.		Moved to 3.3 (iv)
2.3 (ix)	CBs can only subcontract inspections and audits to Inspection Bodies ...		Clarified and moved to 3.4 (iv)
2.3 (x)	Each CB approved by the GLOBALGAP Secretariat will		Clarified and moved to 3.4 (v)

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2.3 (xi)	<p>nominate an in-house trainer, ...to the requirements of the current Licence and Certification Agreement.</p> <p>The CB shall:</p> <p>a) register all auditors and inspectors in the GLOBALGAP (EUREPGAP) database; and</p> <p>b) have them complete the GLOBALGAP (EUREPGAP) online training, including the online exam, in the relevant scope in the Online Training Extranet (http://onlinetraining.eurep.org), within a three year standard version validity period, starting from the date this online training is made available by GLOBALGAP (EUREPGAP); and</p> <p>c) pay the relevant training fee per registered auditor/inspector according to the latest version of the GLOBALGAP (EUREPGAP) fee table.</p>	3.1 (iii)	<p>The CB shall:</p> <p>a) register all auditors and inspectors in the GLOBALGAP database; and</p> <p>b) have them complete the GLOBALGAP (EUREPGAP) online training, including the online exams for the General Regulations and for the Control Points and Compliance Criteria in the relevant sub-scope in the Online Training Extranet (http://onlinetraining.globalgap.org), within 6 months after registration; and</p> <p>c) pay the relevant online training fee per registered auditor/inspector according to the latest version of the GLOBALGAP fee table.</p>
2.3 (xii)	<p>(xii) When a producer or producer group that has previously had a GLOBALGAP (EUREPGAP) Registration N° applies ... Transfer between Certification Bodies as set out in Annex II.1</p>		Clarified and Moved to 3.2 (i)
2.3 (xiii)	<p>When a producer or producer group wants to transfer between CBs, ..., before any further actions are taken (see Annex II.1 clause 1.5).</p>		Clarified and Moved to 3.2 (ii)
2.3 (xiv)	<p>When a producer or producer group uses the services of more than one CB,</p>		Moved to 3.2 (iii)
2.3 (xv)	<p>When a producer or producer group uses the services of more than one CB (General Regulations Part I; 4.4.2.vi), ... The communication between CBs shall include all relevant details, ... this.</p>		Moved to 3.2 (iv)
2.3 (xvi)	<p>The CB is responsible for communicating updates, ... to their GLOBALGAP (EUREPGAP) registered clients.</p>	3.1 (iv)	<p>The CB is responsible for communicating updates, ... to their GLOBALGAP (EUREPGAP) registered clients.</p>

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2.3 (xix)	GLOBALGAP (EUREPGAP) shall be entitled to participate, upon prior notice and at its own cost, in inspections or audits carried out by Certification Bodies.	3.1 (v)	GLOBALGAP shall be entitled to participate, upon prior notice and at its own cost, in inspections or audits carried out by Certification Bodies.
2.1 (vii)	The CB must send a qualified GLOBALGAP (EUREPGAP) auditor or inspector (Appendices II.1 and II.2) to the annual compulsory GLOBALGAP CB Event.	3.1 (vi)	The CB must send a qualified GLOBALGAP (EUREPGAP) auditor or inspector (Appendices II.1 and II.2) to the annual compulsory GLOBALGAP CB Event.
2.1 (viii)	The annual Certification Licence fee must be paid. There is a period of 60 days grace to pay the Certification Licence fee to GLOBALGAP (EUREPGAP). (The relevant amounts are indicated in the current updated GLOBALGAP (EUREPGAP) Fee Table, as published on the GLOBALGAP website).	3.1 (vii)	The annual Certification Licence fee must be paid. There is a period of 6 weeks to pay the Certification Licence fee to GLOBALGAP. (The relevant amounts are indicated in the current updated GLOBALGAP Fee Table, as published on the GLOBALGAP website).
		3.2	Producer registration
2.3 (xii)	When a producer or producer group that has previously had a GLOBALGAP (EUREPGAP) Registration N ^o applies for registration, ... Annex II.1.	3.2 (i)	When a producer or producer group that has previously had a GLOBALGAP (EUREPGAP) GGN applies for registration, ... Annex II.1.
2.3 (xiii)	When a producer or producer group wants to transfer between CBs, the accepting CB must as a first step for all applicants carry out a search in the GLOBALGAP (EUREPGAP) database, to verify the status, before any further actions are taken (see Annex II.1 clause 1.5).	3.2 (ii)	When a producer or producer group wants to change to a new CB , the accepting CB must as a first step for all applicants carry out a search in the GLOBALGAP database, to verify the status, before any further actions are taken (see Annex II.1).
2.3 (xiv)	When a producer or producer group uses the services of more than one CB, each CB shall conduct the respective inspections (Option 1 and 2) and QMS audit (Option 2) independently.	3.2 (iii)	When a producer or producer group uses the services of more than one CB, each CB shall conduct the respective inspections (Option 1 and 2) and QMS audit (Option 2) independently.
2.3 (xv)	When a producer or producer group uses the services of more than one CB (General Regulations Part I; 4.4.2.vi), ... The communication between CBs shall include all relevant details,	3.2 (iv)	When a producer or producer group uses the services of more than one CB (General Regulations Part I; 4.4.2.vi), ...The communication between CBs shall include all relevant details,

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	but the sanction issued shall be valid and all relevant CBs must observe this.		but the sanction issued shall be valid and all relevant CBs must observe this.
		3.3	Assessment process
2.3 (iii)	GLOBALGAP (EUREPGAP) certification granting procedure must be clearly identified ... with the Registration of the applicant as a first step.	3.3 (i)	GLOBALGAP (EUREPGAP) certification granting procedure must be clearly identified ... with the Registration of the applicant as a first step.
		3.3 (ii)	After positive certification decision the CB shall issue a certificate according to the latest version of the GLOBALGAP certificate template.
2.3 (iv)	Each CB will be responsible for the information filed; documentation related to GLOBALGAP (EUREPGAP) procedures or GLOBALGAP (EUREPGAP) clients must be made available to GLOBALGAP (EUREPGAP) on request.	3.3 (iii)	Each CB will be responsible for the information filed; documentation related to GLOBALGAP (EUREPGAP) procedures or GLOBALGAP (EUREPGAP) clients must be made available to GLOBALGAP (EUREPGAP) on request.
2.3 (viii)	The inspection and certification activities of the Certification Body must be carried out at the respective registered production sites of the registered producers and, to the extent relevant, at the relevant administrative sites.	3.3 (iv)	The inspection and certification activities of the Certification Body must be carried out at the respective registered production sites (all sites where the products are produced) of the registered producers and, to the extent relevant, at the relevant administrative sites.
		3.3 (v)	Any non-compliance identified during the evaluation will be discussed during the evaluation and documented on the corresponding GLOBALGAP Checklist.
		3.3 (vi)	Any non-compliances, which show deliberate mismanagement of GLOBALGAP (EUREPGAP) related procedures would result in a Complete Suspension of a certificate and notification to the GLOBALGAP Secretariat.

Clause	General Regulations V3.0	Clause	General Regulations V3.1
		3.3 (vii)	All non-compliances against a QMS in Option 2 shall be resolved before a certificate can be issued to the group. All the control points of the QMS Checklist are Major Musts and sanctions shall be according to the explanations in Part I, 6.
		3.3 (viii)	Satisfactory corrective actions must be completed to achieve approval level for any individual producer member before the producer can be included on an approval list of the producer group.
		3.3 (ix)	Evidence of the resolution of non-compliances can be provided in the form of documentary evidence or photographic evidence as appropriate.
		3.3 (x)	There may be occasions where demonstration of the resolution of a non-compliance can only be confirmed by a further site visit where this is required a charge may result.
		3.3 (xi)	All corrective actions will be assessed, with clarification provided to show whether the action taken and evidence provided is sufficient to close the non-compliance.
		3.3 (xii)	At the end of each evaluation day, any non-compliances identified will be summarized and confirmed in writing.
		3.3 (xiii)	On completion of the full evaluation process a full written report will be produced which summarises the evaluation activity undertaken (date of the inspection, sites and facilities inspected and duration of inspection/audit), provides information on how the producer or the producer group complies with the requirements of the standard, and where applicable, lists any non-compliances identified.

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		3.3 (xiv)	In Option 2; the evaluation report format will be based on the QMS Checklist (available on www.globalgap.org). The evaluation report will form the basis by which a decision can be made on the award of a certificate to the group.
		3.3 (xv)	A copy of the evaluation report will be provided to the producer (Option 1) or the group (Option 2) at the end of the inspection/audit.
		3.3 (xvi)	Copies of the report will only be provided to other parties if the producer group provides express written authorization.
		3.3 (xvii)	The assessment report shall include a recording of the assessment time.
		3.3 (xviii)	A list of all the producers and sites as well as applicable produce handling facilities to which the certificate relates shall be issued in an appendix referred to in the certificate. The CB shall keep this list up to date.
		3.4	<i>Training and Qualification of Staff</i>
2.3 (v)	Every CB approved by the GLOBALGAP Secretariat will nominate a contact person, called the “GLOBALGAP (EUREPGAP) Scheme Manager”, who will be the representative of the CB before the GLOBALGAP Secretariat. This person: <ul style="list-style-type: none"> -written receipt; - shall be responsible for communication ... pass the GLOBALGAP online training and tests; - shall respond to GLOBALGAP operational enquiries within 3 working days as required in the communication. If this person is not available, a substitute shall respond in this time frame; 	3.4 (i)	Every CB approved by the GLOBALGAP Secretariat will nominate a contact person, called the “GLOBALGAP (EUREPGAP) Scheme Manager”, who will be the representative of the CB before the GLOBALGAP Secretariat. This person: <ul style="list-style-type: none"> -written receipt; - shall be responsible for communication ...pass the GLOBALGAP online training and tests; - shall respond to GLOBALGAP operational enquiries as required in the communication. If this person is not available, a substitute shall respond in this time frame; - <u>shall distribute all communication received from the</u>

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			<u>GLOBALGAP Secretariat to all CB staff involved in GLOBALGAP (EUREPGAP) activities in all countries.</u>
2.3 (vi)	The person who makes the certification decision or at least one member of the certification committee of the CB shall comply with auditor qualifications (for the scope the certificate is being issued) as set out in Appendix II.2-	3.4 (ii)	The person who makes the certification decision or at least one member of the certification committee of the CB shall comply with auditor qualifications as set out in Appendix II.2 for the scope the certificate is being issued, regardless if the decision is for an Option 1 producer or regardless if the CB does not have any Option 2 clients.
2.3 (vii)	For carrying out GLOBALGAP (EUREPGAP) inspections and audits, the CB must employ/contract only inspectors and auditors that fulfil the GLOBALGAP (EUREPGAP) requirements (See Appendices II.1 and II.2, respectively).	3.4 (iii)	For carrying out GLOBALGAP (EUREPGAP) inspections and audits, the CB must employ/contract only inspectors and auditors that fulfilled the GLOBALGAP (EUREPGAP) requirements (See Appendices II.1 and II.2, respectively).
2.3 (ix)	CBs can only subcontract inspections and audits to Inspection Bodies that are ISO/IEC 17020:2004 accredited to a relevant scope. (i.e. a scope covering on-farm food production).	3.4 (iv)	CBs can only subcontract inspections to Inspection Bodies that are ISO/IEC 17020:2004 accredited or audits to certification bodies that are ISO/IEC Guide 65 accredited to a relevant sub-scope. The sub-contractors shall implement the relevant requirements of GLOBAL GAP (EUREPGAP) General regulations
2.3 (x)	Each CB approved by the GLOBALGAP Secretariat will nominate an in-house trainer, ..., according to the requirements of the current Licence and Certification Agreement	3.4 (v)	Each CB approved by the GLOBALGAP Secretariat will nominate an in-house trainer, ..., according to the requirements of the current Licence and Certification Agreement.
		3.4 (vi)	The in-house trainer(s) must attend and pass the GLOBALGAP train-the-trainer course for each sub-scope the CB issues or plans to issue certificate(s). The person who attends must comply with at least inspector qualification requirements for the respective sub-scope. The training and

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			exam must be repeated for each new standard (CPCC) version.
		3.4 (vii)	All approved CBs must show evidence until 1 st June, that an in-house trainer who has passed the GLOBALGAP train-the-trainer exam for version 3 is available for each approved sub-scope. Failing the exam requires attending GLOBALGAP train-the-trainer and repeating the exam within 6 months.
		3.4 (viii)	GLOBALGAP reserves the right to randomly ask for the proof of qualification of the inspectors and auditors approved by the CB. In case the CB is not able to submit such proof and/or the inspectors and auditors does not comply with the qualification requirements GLOBALGAP reserve the right to block those persons in the GLOBALGAP database and inform the relevant Accreditation Body.
2.4	CB Data Communication with GLOBALGAP -...Options (per product), with - Central validation of certificates by market participants, and - Audit/Inspection and	3.5	CB Data Communication with GLOBALGAP -...Options (per product), with - Central validation of certificates by market participants (online validation tool), and - Audit/inspection and...
2.4 (i)	Ensure that as soon as the CB has made the certification decision, no certificate is issued before the producer's status is updated to "Certified" in the GLOBALGAP (EUREPGAP) database.	3.5 (i)	Ensure that as soon as the CB has made the certification decision, no certificate is issued before the product status is updated to "Certified" in the GLOBALGAP database.
2.5	CB Communication with GLOBALGAP (EUREPGAP) Certification Client	3.6	CB Communication with Clients seeking GLOBALGAP (EUREPGAP) certification
2.6	(i) The CB must comply with communication requirements to clients seeking GLOBALGAP (EUREPGAP) certification within the following notice times (see 4.4.1(i) in Part I of General Regulations):	3.6 (i)	The CB must comply with communication requirements to clients seeking GLOBALGAP (EUREPGAP) certification within the following notice times (see 4.4.1(i) in Part I of General Regulations):

Clause	General Regulations V3.0	Clause	General Regulations V3.1
	- Registration receipt within 14 calendar days after CB received the GLOBALGAP (EUREPGAP) Client Number (EGN) from the GLOBALGAP (EUREPGAP) Database. - Certification confirmation within 14 calendar days after the producer has shown sufficient evidence of corrective action.		- Registration receipt within 14 calendar days after CB received the GLOBALGAP (EUREPGAP) Number (EGN) from the GLOBALGAP database. - Make the certification decision within a maximum of 28 calendar days after closure of any outstanding non-conformances.
2.6	Independence, Impartiality, Confidentiality and Integrity of CB	3.7	Independence, Impartiality, Confidentiality and Integrity of CB
3.1	Two types of Non-compliances can lead to sanctioning of CBs.	4.1	Two types of Non- conformances can lead to sanctioning of CBs.
3.1.1	Contractual non-compliances are found when CBs are not in compliance with contracts signed with GLOBALGAP. These may include, but are not limited to:	4.1.1	Contractual non- conformances are found when CBs are not in compliance with contracts signed with GLOBALGAP. These may include, but are not limited to:
3.1.2	GLOBALGAP (EUREPGAP), the relevant AB and the GLOBALGAP (EUREPGAP) Integrity Surveillance Committee (ISC) will be responsible for dealing with these non-compliances.	4.1.2	GLOBALGAP, the relevant AB and the GLOBALGAP Integrity Surveillance Committee (ISC) will be responsible for dealing with these non-compliances.
3.2	FoodPLUS (Information to AB and Integrity Surveillance Committee (ISC))	4.2	GLOBALGAP (Information to AB and Integrity Surveillance Committee (ISC))
3.2	Integrity Surveillance Committee (Information to AB)	4.2	GLOBALGAP and/or the Integrity Surveillance Committee (Information to AB)
3.2	Contract Cancellation (Cancellation of the Licence and Certification Agreement (at least for 2 years)	4.2	ContractCancellation (Cancellation of the Licence and Certification Agreement)
4.3.2 (iii)	GLOBALGAP (EUREPGAP) online training, with the successful completion of one online test per revision period (once every 3 years).	5.3 (iii)	GLOBALGAP (EUREPGAP) online training, with the successful completion of one online test per revision period (once every 4 years).
4.3.2 (vi)	(vi) A minimum of 2 years experience gained after finishing academic studies mentioned in point 4.2.1.i, and 3 years overall experience in the agricultural industry. This shall involve	5.3 (vi)	A minimum of 2 years experience gained after finishing academic studies mentioned in point 4.2.1.i, and 3 years overall experience in the agricultural industry. This shall involve

Clause	General Regulations V3.0	Clause	General Regulations V3.1
	work in the respective sub-scope: production of Crops (for inspecting the Crops sub-scopes) and/or Livestock (for inspecting the Livestock sub-scopes) and/or Aquaculture (for inspecting the Aquaculture sub-scopes). Two years experience for each sub-scope is required, which may have been gained simultaneously for more than one sub-scope.		work in the respective sub-scope. Two years experience for each sub-scope is required, which may have been gained simultaneously for more than one sub-scope.
		5.3	These formal courses (mentioned in i, ii, iv and v above) can be part of the formal qualifications (degree/diploma) or can be separate courses that were taken by the inspector or auditor. The inspector/auditor needs to have proof. If it was part of the degree/ diploma, it must be in the syllabus of the course. Or, if it was acquired separately, then there must be a separate certificate, which shows that a course that covered these issues was completed (including an exam).
		5.3.4	Maintenance of Competency The CB must have in place a procedure to ensure annually that every inspector/auditor conducts at least 5 inspections/audits or 10 inspection/audit days, at a number of different producers, against the GLOBALGAP (EUREPGAP) Integrated Farm Assurance standard and to maintain scheme knowledge and to stay registered on the GLOBALGAP database. Exceptions to this rule, e.g., when the CB does not have a total of 5 clients, must be consulted and confirmed in writing beforehand with the GLOBALGAP Secretariat.
4.4.3 (i)	Inspectors are not permitted to carry out any activities which may affect their independence or impartiality, and specifically shall not carry out consultancy or customized training activities for the producers on whom they perform inspections. Training is not considered to be customized, nor to be consultancy, provided that, where the course relates to management systems or auditing, it is confined to the provision of generic	5.4.3 (i)	Inspectors are not permitted to carry out any activities which may affect their independence or impartiality, and specifically shall not carry out consultancy or training activities for the producers on whom they perform inspections. Training is not considered consultancy, provided that, where the course relates to management systems or auditing, it is confined to the provision of generic information that is freely available in

Clause	General Regulations V3.0	Clause	General Regulations V3.1
	information that is freely available in the public domain; i.e. the trainer cannot provide company-specific solutions.		the public domain; i.e. the trainer cannot provide company-specific solutions.
5.3.1 (i)	Practical auditing experience in ISO 9000 or ISO 14000 series, BRC, IFS or similar (minimum 10 days).	6.3.1 (i)	Practical auditing experience of minimum 10 days in management systems (e.g. ISO 9000, ISO 14000, ISO 22000, OSHAS 18000), BRC, IFS, previous GLOBALGAP Option 2 audits, producer group audits of organic growers or others. This does not include witnessing or shadowing of audits, but includes being witnessed or shadowed as auditor-in-training.
5.3.2 (iii)	GLOBALGAP (EUREPGAP) online training, with the successful completion of one online test per revision period (once every 3 years).	6.3.2 (iii)	GLOBALGAP (EUREPGAP) online training, with the successful completion of one online test per revision period (once every 4 years).
5.3.2 (vi)	A minimum of 2 year's experience gained after finishing academic studies mentioned in point 5.2.1.i, and 3 years overall experience in the agricultural industry. This shall involve work in the respective sub-scope: production of Crops (for auditing the Crops sub-scopes) and/or Livestock (for auditing the Livestock sub-scopes) and/or Aquaculture (for auditing the Aquaculture sub-scopes). Two years experience for each sub-scope is required, which may have been gained simultaneously for more than one sub-scope.	6.3.2 (vi)	A minimum of 2 year's experience gained after finishing academic studies mentioned in point 5.2.1.i, and 3 years overall experience in the agricultural industry. This shall involve work in the respective sub-scope. Two years experience for each sub-scope is required, which may have been gained simultaneously for more than one sub-scope.
		6.3.2	These formal courses (mentioned in i, ii, iv and v above) can be part of the formal qualifications (degree/diploma) or can be separate courses that were taken by the inspector or auditor. The inspector/auditor needs to have proof. If it was part of the degree/ diploma, it must be in the syllabus of the course. Or, if it was acquired separately, then there must be a separate certificate that shows that a course that covered these issues were completed (including an exam).
		6.3.3	Social Assessment Competency Where social assessments are required during inspection of a

Clause	General Regulations V3.0	Clause	General Regulations V3.1
5.3.3	Communication Skills		sub-scope (Shrimp, Tilapia, Pangasius), the auditor needs to comply with the minimum training, e.g. GLOBALGAP Risk Assessment on Social Practices (GRASP) Training for Auditors offered by GLOBALGAP. Only those auditors who hold a licence provided by GLOBALGAP are allowed to conduct GRASP Assessments. These licenses are provided per auditor, not per Certification Body. In order to acquire this license, auditors need to pass the GRASP online training and test, and participate in GRASP Training for Auditors.
		6.3.4	Communication Skills
		6.3.5	5.3.5 Maintenance of Competency The CB must have in place a procedure to ensure annually that every inspector/auditor conducts at least 5 inspections/audits or 10 inspection/audit days, at a number of different producers, against the GLOBALGAP (EUREPGAP) Integrated Farm Assurance standard and to maintain scheme knowledge and to stay registered on the GLOBALGAP database. Exceptions to this rule, e.g., when the CB does not have a total of 5 clients, must be consulted and confirmed in writing beforehand with the GLOBALGAP Secretariat. This rule is not applicable to the auditor who acts as the decision maker.
5.4.4 (ii)	Auditors are not permitted to carry out any activities, which may affect their independence or impartiality, and specifically shall not carry out consultancy or training customized activities for the producers on whom they perform inspections. Training is not considered to be customized, nor to be consultancy, provided that, where the course relates to management systems or auditing, it is confined to the provision of generic information that is freely available in the public domain; i.e. the trainer cannot provide company-specific solutions.	6.4.4 (ii)	Auditors are not permitted to carry out any activities, which may affect their independence or impartiality, and specifically shall not carry out consultancy or training activities for the producers on whom they perform inspections. Training is not considered consultancy, provided that, where the course relates to management systems or auditing, it is confined to the provision of generic information that is freely available in the public domain; i.e. the trainer cannot provide company-specific solutions.
6.1 (ii)	The evaluation process is therefore divided into two elements:	7.1 (ii)	The CB shall send the audit plan to the management of the

Clause	General Regulations V3.0	Clause	General Regulations V3.1
6.1.2 (i)	(i) A sample of approved registered producer members will be inspected against all control points of the applicable modules of the GLOBALGAP (EUREPGAP) standard. The final selection and communication to the producer group of the which and how many producers to inspect, shall be done after the QMS audit, using criteria based on the group structure and defined in a sampling procedure, which is risk based.	7.1.2 (i)	producer group prior to the audit. The evaluation process is therefore divided into two elements: A sample of approved registered producer members will be inspected against all control points of the applicable modules of the GLOBALGAP (EUREPGAP) standard. The final selection and communication to the producer group of which and how many producers to inspect, shall be done by the CB after the QMS audit, using criteria based on the group structure and defined in a sampling procedure, which is risk based. The notification shall not exceed 48 hours per producer.
6.1.2 (ii)	A minimum inspection sample size will be based on the square root of the number of registered producers that have been registered for each combination of sub-scopes. This will mean that during the inspection of each of these selected producers, all the products in that sub-scope combination can be inspected.	7.1.2 (ii)	A minimum inspection sample size shall be based on the square root of the number of registered producers that have been registered for each combination of sub-scopes. This will mean that during the inspection of each of these selected producers, all the products in that sub-scope combination must be inspected.
6.1.2 (iv)	Producers will also be classified by production type, within the respective sub-scope and sub-scope combination: a) e.g....If within that group of 64 producers, 16 of them were also to produce poultry indoors, then the square root of that small group of producers (4) would also be inspected, as they have a different combination of production types (in total 8 +4 = 12 producers will be inspected)...	7.1.2 (iv)	Producers will also be classified by production process , within the respective sub-scope and sub-scope combination. These may include, but are not limited to the following examples: e.g.1: ...If, however , within that group of 64 producers, 16 of them were to produce poultry indoors only , then the square root of that small group of producers (4) would also be inspected, as they have a different combination of production types (in total 8 +4 = 12 producers will be inspected)... e.g.2: In a producer group with 14 producers where all 14 produce strawberries under protection as well as in open field, the square root of the number of open field producers (4) and the square root of the number of producers producing under

Clause	General Regulations V3.0	Clause	General Regulations V3.1
			cover (4) must be inspected. However, since all 14 members practice both types of production, only 4 producer members need to be inspected.
		7.1.2 (vii)	Producers that move from one group to another shall have a higher possibility of being included in the sample of producers chosen by the Certification Body.
6.1.3(ii)	Where central produce handling sites are used by the producer group, (i.e. less than one produce handling site per producer group member), these must be inspected (see (i) above) by the CB together with the QMS audit, using the combined QMS and produce handling checklist made available by GLOBALGAP (EUREPGAP). Where the produce handling does not take place centrally, ...inspected.	7.1.3 (ii)	Where central produce handling sites are used by the producer group, (i.e. less than one produce handling site per producer group member), these (using the square root sampling) must be inspected by the CB using the combined QMS and produce handling checklist made available by GLOBALGAP (EUREPGAP). Where the produce handling does not take place centrally, ... inspected.
6.2	Audit and Inspection Frequency NOTE: Refer to General Regulations PART I, 5.2		Deleted, now only mentioned in PART I, 5.2
6.2.1 (i)	Announced Audits and Inspections One announced external audit of the group's QMS will be carried out annually by the GLOBALGAP (EUREPGAP) approved CB.		Deleted, now only mentioned in PART I, 5.2
6.2.1 (ii)	External farm inspections are annual.		Deleted, now only mentioned in PART I, 5.2
6.2.2	Unannounced Surveillance Audits and Inspections The granting CB ...will annually carry out ... producer group's Quality Management system. The 10% must not only take into account total numbers, but ..., crop type, compliance history, etc.		Deleted, now only mentioned in PART I, 5.2
6.3 (i)	Non-Compliances Any non-compliance identified during the evaluation will be discussed during the evaluation and documented at the end of		Deleted from this section, but mention still made in 3.3 (xii)

Clause	General Regulations V3.0	Clause	General Regulations V3.1
	audit day.		
6.3 (ii)	Any non-compliances, which show deliberate mismanagement of GLOBALGAP (EUREPGAP) related procedures will result in a Complete Suspension of a certificate and notification to the GLOBALGAP Secretariat.		Moved to 3.3 (vi)
6.3 (iii)	All non-compliances against the QMS shall be resolved before a certificate can be issued to the group.	3.3 (vii)	All non-compliances against the QMS shall be resolved before a certificate can be issued to the group. All the control points of the QMS are Major Musts and sanctions shall be according to the explanations in Part I, 6. Moved to 3.3 (viii)
6.3 (iv)	Satisfactory corrective actions must be completed to achieve approval level for any individual producer before the producer can be included on an approval list.		Moved to 3.3 (viii)
6.4 (i)	Corrective Actions Evidence of the resolution of non-compliances can be provided in the form of documentary evidence or photographic evidence as appropriate.		Moved to 3.3 (ix)
6.4 (ii)	There may be occasions where demonstration of the resolution of a non-compliance can only be confirmed by a further site visit where this is required a charge may result.		Moved to 3.3 (x)
6.4 (iii)	All corrective actions will be assessed, with clarification provided to show whether the action taken and evidence provided is sufficient to close the non-compliance.		Moved to 3.3 (xi)
6.5 (i)	Reports At the end of each evaluation day, any non-compliances identified will be summarized and confirmed in writing to allow corrective action to be implemented.	3.3 (xii)	At the end of each evaluation day, any non-compliances identified will be summarized and confirmed in writing.

Clause	General Regulations V3.0	Clause	General Regulations V3.1
6.5 (ii)	On completion of the full evaluation process a full written report will be produced which summarises the evaluation activity undertaken, provides information on how the group complies with the requirements of the standard, and where applicable, lists any non-compliances identified.		Moved to 3.3 (xiii)
6.5 (iii)	The evaluation report format will be based on the QMS Checklist (available on www.GLOBALGAP.org). The evaluation report will form the basis by which a decision can be made on the award of a certificate to the group.	3.3 (xiv)	In Option 2: The evaluation report format will be based on the QMS Checklist (available on www.GLOBALGAP.org). The evaluation report will form the basis by which a decision can be made on the award of a certificate to the group.
6.5 (iv)	A copy of the evaluation report will be provided to the group at the end of the audit.	3.3 (xv)	A copy of the evaluation report will be provided to the producer (Option 1) or the group (Option 2) at the end of the inspection/audit.
6.5 (v)	Copies of the report will only be provided to other parties if the producer group provides express written authorization (see Data Protection under 2.6.4).	3.3 (xvi)	Copies of the report will only be provided to other parties if the producer group provides express written authorization
6.6 (i)	Certification A list of all the producers and sites to which the certificate relates shall be issued in an annex referred to in the certificate. The CB shall keep this list up to date.	3.3 (xviii)	A list of all the producers and sites to which the certificate relates shall be issued in an appendix referred to in the certificate. The CB shall keep this list up to date.
6.7	Registration of Additional Producers within the Group	7.2	Registration of Additional Producers within the Group
6.7 (iii)	Should the number of approved registered producers increase by more than 10% in one year, further external sample inspections of the newly added producers and/or a review of the QMS will be required during that year before additional producers can be added to the approved list.	7.2 (iii)	When the number of approved registered producers increase by more than 10% in one year, further external sample inspections (minimum is the square root of new producers/farms) of the newly added producers and optionally an audit of the QMS will be required during that year before additional producers can be added to the approved list.

Clause	General Regulations V3.0	Clause	General Regulations V3.1
6.7 (iv)	Regardless of the percentage by which the number of approved registered producers increases in one year, should the newly registered farms increase the area or number of livestock of previously approved registered products by more than 10% in one year, further external sample inspections of the newly added farms or producers and/or a review of the Quality Management Systems will be required during that year before additional farms or producers can be added to the approved list.	7.2 (iv)	Regardless of the percentage by which the number of approved registered producers increases in one year, should the newly registered farms increase the area or number of livestock of previously approved registered products by more than 10% in one year, or there is a 10% change of producers further external sample inspections (minimum is the square root of new producers/farms) of the newly added farms or producers and optionally an audit of the Quality Management Systems will be required during that year before additional farms or producers can be added to the approved list.