

## **ANNEX I.4 GLOBALGAP STATUS DEFINITIONS**

(This Annex forms part of the GLOBALGAP (EUREPGAP) General Regulations V3.1-Nov09 and may be referred to by other GLOBALGAP (EUREPGAP) documentation.)

GLOBALGAP (EUREPGAP) defines 2 types of statuses:

1. Producer Statuses (linked to a producer or producer group)
2. Product Statuses (linked to the products of a producer or producer group)

### **1. PRODUCER STATUSES**

All the following statuses refer to the producer individually, or the producer group as a whole.

#### **1.1 Producer Status: “Not confirmed”**

Producers or producer groups in the status “Not Confirmed” are those whose registration data are recorded in the database and linked to a Trustee. The registration information (company, location, product information) has not yet been confirmed or reconfirmed by a Trustee. Registration fee is not yet payable by producer or producer groups in this status.

#### **1.2 Producer Status: “Registered”**

“Producers or producer groups in the status “Registered” are linked to a Trustee. The producer status “Registered” is a pre-condition for product acceptance and certification. The status “Registered” can only be set by a Trustee.

#### **1.3 Producer Status: “CB/PG Accepted”**

Producers or producer groups will be automatically set from the producer status “Registered” to the producer status “CB/PG Accepted”, as soon as one or more products have been set to the product status “Accepted”. “CB/PG Accepted” producers and producer groups must pay the registration fee to the Trustee, according to the current Fee Table published on [www.globalgap.org](http://www.globalgap.org).

#### **1.4 Producer Status “Annulled”**

Producers or producer groups in the status “Annulled” are still recorded in the database (they still have a valid GGN), but not linked to a certification body or a producer group anymore. All registration information will be stored in the database for at least 2 years and can be re-activated at anytime. Producer and producer groups in this status do not pay the registration fee.

#### **1.5 Producer Status “Cancelled”**

Producers or producer groups in the status “Cancelled” are blocked and will remain in this status for 12 months before they can link up and register with a certification body or producer group again. It is, however, possible that the individual member of a cancelled group can immediately re-register with another group or as Option 1 if there were no issues pending against them as producer member. After 1 year the producer or producer groups will be set to Annulled. A new registration process needs to be followed.

## **2 PRODUCT STATUSES**

Product statuses, within GLOBALGAP certification, refer to the status of the production process of the product under consideration. GLOBALGAP (EUREPGAP) provides the standards and framework for independent, recognised third party certification of farm production processes based on EN45011 or ISO/IEC Guide 65 – product/production process certification and therefore the producer can only be

certified with reference to one or more production processes of the product(s) under consideration. Although the term “product status” is used, it only refers to the status of the production process of the product out of which the product is delivered and not to that of the product itself.

### **2.1 Product Status: “Not confirmed”**

As soon as a producer or producer group registers products in the database, these products are set to the status “Not confirmed”. Additional products can be entered at any time when the producer or producer group is in the status “Not Confirmed”, “Registered” or “CB/PG Accepted”. If a product certificate is not renewed, the product status is automatically set to “Not confirmed”. New products cannot be registered when the producer is in the status “Cancelled” or “Annulled”.

### **2.2 Product Status: “Accepted”**

For certificate holders all products shall be set to the product status “Accepted” before they can be certified. Products with status “Self-declared suspension” or “Suspension” cannot be re-accepted. The CB sets the status “Accepted” for Option 2 and Option 1 and the group itself sets for the producer members of the Option 2 group. This status is the trigger of the registration fee. Product quantities/growing areas need to be entered for producers and producer members of the producer group. The product quantities of the group will be aggregated based on the information of the group members. If products of a group member are in the status “Accepted”, this group member with his/her products will be part of the group certificate and take on the overall product status within the group certificate.

### **2.3 Product Status “Certified”**

As soon as the certification body sets the product status to “Certified” for one or more product(s), the CB shall issue a certificate to the respective producer/producer group. The producer or producer group shall, at this time, pay the certification fee according to the GLOBALGAP Fee Table available on [www.globalgap.org](http://www.globalgap.org). Additional products may be added later, i.e. by changing the product status of these products to “Certified” and by the CB issuing an updated certificate, without changing the certificate validity. Products of group members cannot receive the status “Certified”, but it belongs, in case the respective group product is certified, to a certified production process

### **2.4 Product Status “Self-declared suspension”**

A producer or producer group can ask the CB for a voluntary suspension of a product.

A producer or producer group may voluntarily ask a CB to temporarily suspend his/her/their product(s). (see GR Part I, 6.2.2.1).

### **2.5 Product Status: “Product Suspended”**

A suspension of the product certificate is issued when a producer or producer group does not present evidence of corrective actions that close out a non-conformity after a Warning has been issued. The respective certification body/producer group must set the respective products to the status “Product Suspended”. The suspension must be lifted by the respective certification body/producer group-

### **2.6 Product Status: “Open non-conformity”**

The status “Open non-conformity” is set when a producer or producer group does not comply with 100% Major Must or 95% Minor Must control points within 28 days after an initial inspection, or if the certificate has expired for more than 12 months before the subsequent inspection. Consequently, the CB must perform a complete inspection in order for the producer to get certification. The status “open non-conformity” cannot be given to producer group members’ products.

### 3. EDITION UPDATES REGISTER

General Regulation Version	Replaces	Replaced document obsolete	New document comes into force	Description of Modification
3.0-1_Sep07	3.0_Mar07	30 Sep 2007	30 Sep 2007	Modification GLOBALGAP (EUREPGAP)
3.1_Nov09 Interim Final	3.0-1_Sep07	28 Feb 2010	20 Nov 2009	Modification of all the statuses to clarify (in almost all points).
3.1_Nov09	3.1_Nov09 Interim Final	28 Feb 2010	20 Nov 2009 Published 6 Jan 2010	Change from Interim Final to Final Version