



GLOBALG.A.P.

GLOBALG.A.P. General Regulations

Crops Rules

Document with tracked changes in V5.1

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1. INTRODUCTION

This document describes additional certification rules for any party seeking certification for crops in accordance with the GLOBALG.A.P. Integrated Farm Assurance (IFA) Standard and the GLOBALG.A.P. Produce Safety Standard (PSS).

These Crop Rules shall be used in combination with the GLOBALG.A.P. General Regulations that define the certification rules that apply for all GLOBALG.A.P. Standards.

The term “shall” is used throughout this document to indicate those provisions which, reflecting the requirements of GLOBALG.A.P., are mandatory.

2. CERTIFICATION SCOPE

2.1. IFA Crops Sub-Scopes

Crop Rules apply for all sub-scopes under the Crops scope:

- (i) Fruit and Vegetables: GLOBALG.A.P. Certification covers fruit and vegetables used for fresh, cooked or processed consumption by humans. Crops (vegetables or herbs) grown solely for medicinal or aromatic purposes cannot be certified
- (ii) Flowers and Ornamentals
- (iii) Plant Propagation Material (products certified under PPM sub-scope are not intended for human consumption or for feed.)
- (iv) Combinable Crops: GLOBALG.A.P. Certification covers extensive crops for cooked or processed consumption by humans or animals or for use in the industry
- (v) Tea
- ~~(vi)~~ Hops

NOTE for Herbs: Products classified as Herbs in general are listed in the GLOBALG.A.P. Product list as individual products with separate Identification numbers.

However, where more than one Herb product is grown, residue testing does not have to be performed on each individual product (Herb), but according to the risk of the group of Herbs.

Also, the use of Plant Protection Products on Herbs is applicable to Herbs as a group and not for each individual product (Herb).

2.2. Modular Structure of Crops IFA Standards

The Crops IFA Standards are composed of scope and sub-scope modules. The evaluation of compliance with the standard implies the verification of applicable modules. It is not possible to certify the respective sub-scope without also verifying compliance with the applicable scope. The compliance criteria of the scope shall be interpreted according to the inspected sub-scope.

Examples:

- (i) Apples shall be certified under the Fruit and Vegetables module, which automatically requires compliance with the All Farm Base and Crops Base modules.
- (ii) The certification of Plant Propagation Material requires compliance with the All Farm Base, Crops Base and Plant Propagation Material modules.
- ~~(ii)~~(iii) Hop cones for brewing shall be certified after compliance with the All Farm Base, Crops Base and Hops modules.
However, when hop shoots (as vegetable) are included in the scope of certification, together with hop cones for brewing, the producer shall comply with the All Farm Base, Crops Base, Hops and Fruit and Vegetables modules.
If the producer is ONLY applying for certification of hop shoots (no brewing, only as vegetable), the producer shall comply with the All Farm Base, Crops Base, and Fruit and Vegetables modules.

For more information on the structure and modular approach, please see the General Regulations Part I – General Requirements.

2.3. Harvest Exclusion

- (i) If produce is sold in the field *before harvest* and the buyer is responsible for harvesting, the harvesting section (FV.5) in the Control Points and Compliance Criteria can be excluded from the producer's certificate.
- (ii) As long as the harvesting process (whether carried out by the producer or subcontracted) takes place while the produce belongs to the producer, all points relating to harvest shall be included in the inspection and the certificate.
- (iii) "Harvest exclusion" applies where the produce does not belong to the producer anymore at some point in time prior to harvest commencing and the producer has no control over the harvesting process. It is also not an activity that is subcontracted by the producer.
- (iv) The producer shall apply for exclusion per product during registration with detailed justification.
- (v) The Certification Body (CB) will make the decision as to whether harvesting may be excluded or not based on the following requirements. The producer shall have a contract with the buyer that states that the harvester/buyer will do all of the following:
 - a) Take ownership of the produce before harvesting.
 - b) Take responsibility for ensuring that harvest takes place only after the Pre-Harvest Interval (PHI) has been observed.
 - c) Handle the produce after harvest (not just during harvest).
 - d) Buy all the produce (Harvest Exclusion is not possible if the producer harvests some part of the crop and sells another part before harvest).
- (vi) If the producer does not know the buyer at the time of registration with GLOBALG.A.P., the following shall be provided:
 - a) A declaration from the producer to inform the buyer (new owner who is harvester AND post-harvest handler) about the Pre-Harvest Interval (PHI).
 - b) A contract with the buyer as soon as the buyer has been identified that includes all issues under point (v). If harvesting is excluded for the producer or producer group, produce handling shall also be excluded for that producer or producer group.

2.4. Post-Harvest Produce Handling Exclusion

- a) Produce handling includes any type of post-harvest handling of products such as storage, chemical treatment, trimming, washing or any other handling where the product may have physical contact with other materials or substances. Details of the specific process (per product) applicable to the producer have to be included in the checklist notes.
- b) If produce handling does not take place under the ownership of the applicant, it shall be declared during registration and indicated on the certificate.
- c) Produce handling shall not be included when harvesting is excluded (see Harvest Exclusion above).
- d) Produce handling shall always be *included* as long as the product belongs to the producer during handling (by the producer or subcontractor), unless there is written evidence (contract, agreement, etc.) that the producer has no control over the packing/handling/storage, the product is not returned to the producer *and the producer is not ~~legally~~ responsible for the product anymore*.
- e) If the Produce Handling Unit (PHU) already has a post-farm gate food safety certification recognized by GFSI (www.mygfsi.com), the GLOBALG.A.P. inspector shall inspect segregation and traceability (that is AF.11, AF.13, CB.1.1) as well as post-harvest treatments (FV.5.8.1-10) if applicable, unless there is a bilateral agreement between GLOBALG.A.P. and the GFSI recognized post-farm gate standard owner stating that these points are included in the scope of the post-farm gate certificate.

If a producer does not perform product handling on farm, but at the facility of another *producer* who does have GLOBALG.A.P. Certification (including product handling), the CB may accept another CB's certificate or the CB may decide to perform its own inspection of the PHU.

3. PARALLEL PRODUCTION/OWNERSHIP

In crop certification, parallel production in one production site is not allowed unless there are distinctive visible differences detectable by the average consumer between the certified and non certified product (e.g. cherry tomatoes and roma tomatoes).

For the Flowers and Ornamentals sub-scope, despite the product list only describing generic categories, parallel production and parallel ownership definitions are as follows:

Parallel Production (PP):

PP is the situation where individual producers, producer members or producer groups produce the same *species* partly as certified and partly as non-certified. It is also PP if not all the members of a producer group producing a *species* that is registered for certification are included in the scope of the certificate.

Example: A producer grows roses. Only a part of the rose production will be certified.

A situation in which a farmer produces one *species* as certified and another *species* as non-certified is not Parallel Production (e.g. roses certified and carnations non-certified).

Parallel Ownership (PO):

PO is the situation where individual producers, producer members or producer groups buy non-certified products of the same *species* they grow under certified production.

Example: A producer grows certified roses and buys non-certified roses from other producer(s).

4. ASSESSMENT PROCESS

4.1. Inspection timing

The following rules apply together with the inspection timing rules described in the General Regulations.

4.1.1. Initial (First) Inspections

- (i) The initial inspection shall cover harvesting activities of each product to be included for certification, as well as produce handling if it is included. Other field work can be checked at a different time where feasible, but this is not obligatory.
- (ii) The inspection shall take place as close to harvest as possible for the inspector to verify as many control points as possible.
- (iii) If the inspection is made before harvest, it will not be possible to inspect certain control points. As a result, either a follow-up visit will be required, or proof of compliance shall be sent by fax, photos or other acceptable means. *No certificate will be issued until all control points have been verified and all non-conformances have been closed.*
- (iv) If harvest takes place before the inspection, the producer shall retain evidence for compliance of control points related to that harvest, otherwise some control points may not be able to be checked and certification will not be possible until the following harvest.
- (v) The CB shall make sure that in the sampling for unannounced visits, those producers that did not receive a first inspection or the subsequent inspection during harvest have a greater chance of getting an unannounced inspection during the next harvest (this needs to be conveyed to the producer when discussing inspection timing). Additionally, the CB shall make every effort to carry out the subsequent inspection during harvest.
- (vi) **Multiple Crops:** The producer may be seeking certification for more than one crop and the crops may not all have the same seasonal timing, i.e. harvest of one crop does not necessarily coincide with the harvest of other crops. The requirements above are applicable to crop groupings based on similarities in production and harvest processes and their risks. The CB shall verify all control points of these groupings, before the product(s) can be added to the certificate.

Example: A visit during apple harvesting is not required when apples are being added to a certification scope that already includes pears. However, the apples can only be added to the certificate once all control points applicable to them have been verified. However, adding spinach to the certification scope would require an assessment during the spinach harvesting period.

4.1.2. Subsequent Inspections

- (i) The inspection shall be carried out at a time when relevant agronomic activities and/or handling (but not only storage) are being carried out. Inspection timing shall allow the CB to gain assurance that all registered crops, even if not present at the time of inspection, are handled in compliance with the certification requirements. Inspections off-season or when the farming activities are minimal shall be avoided.
- (ii) If produce handling is included in the certification scope, the produce handling facility(ies) shall be inspected annually. This inspection shall be carried out while in operation. Only when the CB has carried out a risk assessment that clearly shows that the risk is low, can produce handling be inspected, during operation once every two years. The risk assessment should take into account the product(s) being packed as well as known food safety incidences related to the respective product(s) and any directives from GLOBALG.A.P. to look at specific points. The CB shall keep justification of the reason for the chosen inspection timing on record. This exception is only applicable for Option 1 producers without QMS.
- (iii) If produce handling is excluded from the certification scope, inspection has to be scheduled during harvest season at least every two years. In the respective year, the harvest season of at least one registered product per product grouping has to be inspected. Crop groupings are based on similarities in production and harvest processes and their risks. The CB shall keep justification of the reason for the chosen inspection timing and the crop groupings used on record.

Crops may be grouped according to the following:

- a) Mechanical harvest: The only method of harvesting. In this case there is no need to observe the harvest while in operation. It is sufficient to check only the machine and harvesting machine operation related records after or before the harvest.
- b) Manual harvest of low risk products. The product is low risk when:
 - i. always cooked before eating, or
 - ii. always cleaned before eating i.e. cannot be eaten without cleaning, or
 - iii. dry nuts, or
 - iv. products with inedible skin or shell, or
 - v. product with pathogen reduction step after harvest (still unprocessed) and/or,
 - vi. no known food safety incidences related to the respective product.
- c) Manual harvest of high risk products. All other product that are not under 2) are considered as high risk.
- d) Harvest that involves water or ice.
- a)e) Packing in field.

- (iv) If the producer does not commit to continue with the certification for the next cycle, the CB shall make sufficient provisions to avoid situations where one certificate could be used to cover more than one harvest and growing cycle of the same annually harvested crop, e.g. by shortening the certificate validity. The CB can set the deadline for reconfirmation according to the harvest period of the crop.

Example: Harvest season for blueberries is the entire month of October. The first inspection takes place during October 2015 and the certificate is issued from the end of November 2015 to the end of November 2016. This certificate may cover the harvest and sales of the 2015 and 2016 harvests. Therefore, the CB shall set the deadline for reconfirmation (re-acceptance of the product), e.g. for October 1st, 2016 and if the producer does not reconfirm by that date, the CB shall shorten the validity of the certificate.

- (v) **Multiple consecutive crops:** During the inspection, the production process of all crops included in the certification scope shall be assessed on farm via site visits, interviews with the producer and workers, review of documents, records etc. The producer shall keep evidence of compliance with the applicable control points for all registered crops.
In the years during which there is no requirement to carry out the inspection during harvest season and where crops do not have the same seasonal timing, the CB shall select a date where relevant agronomic activities can be seen on farm for at least one of the products.

4.1.3. Unannounced Inspections (OPTION 1 ONLY)

If during a producer transfer the incoming CB has not seen the harvest season of all products included in the certification scope, an unannounced inspection (within the 10% rule) shall be scheduled during the following 12 months, in order to inspect the harvest process of products not seen.

4.2. Inspection of Product Handling Units (OPTION 2 AND OPTION 1 MULTISITES WITH QMS)

In fruit and vegetables, for the annual CB audit the square root of the total number of central product handling sites registered (those where the products of more than one grower is handled) shall be inspected while in operation. If there is only one central product handling facility, it shall be inspected every year (see also Part III, 5.6. Inspection of Product Handling Units (Option 2 and Option 1 Multisites with QMS)).

4.3. Inspection duration

- a) The inspection duration shall allow for an opening meeting with the farm management, a complete evaluation of all standard requirements, completion of the applicable checklist and the presentation of the results to the producer.
- b) The usual GLOBALG.A.P. production site inspection duration for GLOBALG.A.P. IFA Crops is between 3 and 8 hours (Option 1 producer).
- c) The minimum of 3 hours duration shall apply to the simplest circumstances (one location, one or few crops, simple machinery, few workers, no produce handling, subsequent inspection, documentation is well organized, etc.).
- d) Option 2 producer group members might have inspections of shorter time duration depending on the complexity of the farming situation.
- e) Factors that will increase the minimum of 3 hours (the list is not exhaustive and is applicable for Option 1 and for Option 2 members) are as follows:
 - Initial inspection
 - Addition of new crops during subsequent inspections
 - Addition of new locations during subsequent inspections
 - Storage included
 - Produce handling included
 - Different types of products (product groups)
 - Different types of harvests (harvesting methods)
 - Multiple sites and locations
 - More sub-scopes
 - Subcontractors used (not checked by third party).

EDITION UPDATE REGISTER

New document	Replaced document	Date of publication	Description of Modifications
160630_GG_GR_Crops_Rules_V5_0-2_en	150630_GG_GR_Crops_Rules_V5-0_en	1 July 2016	2.1 – clarification regarding Herbs 4.1.3. – one word added. 5. – point deleted.
170630_GG_GR_Crops_Rules_V5_1_en	160630_GG_GR_Crops_Rules_V5_0-2_en	1 July 2017	2.1 – Hops added to list 2.2 iii) – Hops added as new example 2.4 d) – removed the word “legally” 4.1.2(iii) – introduced crops groupings

If you want to receive more information on the modifications in this document, please see details in the [document with traceable changes](#) or contact the GLOBALG.A.P. Secretariat ~~mail to:~~ translation_support@globalgap.org.

When the changes do not introduce new requirements to the standard, the version will remain “5.0” and an edition update shall be indicated with “5.0-x”. When the changes do affect compliance with the standard, the version name will change to “5.x”. A new version e.g.: V6.0, V7., etc., will always affect the accreditation of the standard.

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